

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Yoshiharu WAKAO, et al.

Application No./Patent No.: 10/567,682

Filed/Issue Date: 02/09/06

Entitled: METHOD FOR TREATING SHIP BALLAST WATER

KATAYAMA CHEMICAL, INC.

(Name of Assignee)

, a CORPORATION

(Type of Assignee, e.g., corporation, partnership, government agency, etc.)

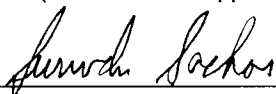
States that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of an assignment from the inventor(s) of the patent application/patent identified above. A copy of the assignment is attached. The assignment was previously recorded or is being recorded concurrently herewith.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Surinder Sachar
Registration No 34,423
Signature

Sept. 22 2008
Date

Norman F. Oblon

Printed or Typed Name

703-413-3000

Telephone Number

24,618

Registration Number

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United States Patent Rights, or
United States plus All Foreign Patent Rights

ATTORNEY DOCKET NO.

0397-0503PUS1

ASSIGNMENT

Application No. NEW

Filed 2/9/06

Insert Name(s) of Inventor(s) → WHEREAS, Yoshiharu WAKAO, Takuro TABUCHI and Takashi MIZUMORI

Insert Title of Invention → (hereinafter designated as the undersigned) has (have) invented certain new and useful improvements in
"METHOD FOR TREATING SHIP BALLAST WATER"

for which an application for Letters Patent of the United States of America has been executed by the undersigned

Insert Date of Signing of Application → on February 1, 2006; and

Insert Name of Assignee → WHEREAS, KATAYAMA CHEMICAL, INC.

Insert Address of Assignee → of 1-6-7, Higashiawaji, Higashiyodogawa-ku, Osaka-shi, Osaka 533-0023 Japan

CHECK BOX IF APPROPRIATE → ☐ its heirs, successors, legal representatives and assigns (hereinafter designated as the Assignee) is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent(s) that may be granted therefor in the United States of America and ☐ in any and all foreign countries.

NOW, THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) to the undersigned in hand paid, the receipt of which is hereby acknowledged, and other good and valuable consideration, the undersigned has (have) sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee the full and exclusive right to the said invention in the United States of America, its territories, dependencies and possessions and the entire right, title and interest in and to any and all Letters Patent(s) which may be granted therefor in the United States of America, its territories, dependencies and possessions, and if the box above is designated, in any and all foreign countries;

and to any and all divisions, reissues, continuations and extensions thereof for the full term or terms for which the same may be granted.

The undersigned agree(s) to execute all papers necessary in connection with this application and any continuing, divisional or reissue applications thereof and also to execute such assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation, division or reissue thereof or Letter Patent(s) or reissue patent issued thereon and to cooperate with the Assignee in every way possible in obtaining and producing evidence and proceeding with such interference.

The undersigned agree(s) to execute all papers and documents and to perform any act which may be necessary in connection with claims or provisions of the International Convention for the Protection of Industrial Property or similar agreements.

The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States of America patent(s) or a grant of a valid United States of America and any foreign patent(s) to the Assignee and to vest all rights therein hereby conveyed to said Assignee as fully and entirely as the same would have been held by the undersigned if this Assignment and sale had not been made.

The undersigned hereby authorize(s) and request(s) the Patent and Trademark Office Officials in the United States of America and in any foreign countries to issue any and all Letters Patents resulting from said application or any continuing, divisional or reissue applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) the full right to convey the entire interest herein assigned, and that he has (they have) not executed, and will not execute, any agreement in conflict herewith.

The undersigned hereby grant(s) the law firm of Birch, Stewart, Kolasch & Birch, LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for recordation of this document.

The undersigned hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

Date	<u>February 1, 2006</u>	Name of Inventor	<u>Yoshiharu Wakao</u>	(signature)	Yoshiharu WAKAO
Date	<u>February 1, 2006</u>	Name of Inventor	<u>Takuro Tabuchi</u>	(signature)	Takuro TABUCHI
Date	<u>February 1, 2006</u>	Name of Inventor	<u>Takashi Mizumori</u>	(signature)	Takashi MIZUMORI
Date	_____	Name of Inventor	_____	(signature)	
Date	_____	Name of Inventor	_____	(signature)	
Date	_____	Name of Inventor	_____	(signature)	